



CORRIGENDUM
TO
TENDER DOCUMENT
TENDER Reference No- NTB/CIAD/IS/2021/10/012

**TENDER DOCUMENT FOR INFORMATION SYSTEMS (IS) AUDIT AND
COMPREHENSIVE AUDIT OF CORE BANKING PROJECT & OTHER APPLICATIONS**

CIAD DEPARTMENT

**REGIONAL OFFICE
HALDWANI**

The Nainital Bank Limited
27th Oct 2021
TENDER Reference No-NTB/CIAD/IS/2021/10/012

Corrigendum and Pre Bid Queries Response for Tender Reference No- NTB/CIAD/IS/2021/10/012, Tender for Information Systems (IS) audit and comprehensive Audit of Core Banking Project & other applications

Sr. No	Page No./ Section No.	Clause No.	Reference/ Subject	Clarification Sought	Response by Bank
1	Page 23	Point 4. (Eligibility Criteria)	The Bidder should have a minimum financial turnover of INR 1.5 Crore and positive net worth for each year in the last three years financial year 2018-19, 2019-2020 and 2020-21.	Please allow to submit turnover certificates for 2017-18, 2018-19, 2019-20. The 2020-21 turnover certificate is in process as last date has been extended till December 2021 by Gol	This clause may be read as: In view of finalization of financial statements, turnover certificates for 2017-18, 2018-19 & 2019-2020 can be submitted as requested.
2	Page 25; A. Bidder's experience in Auditing	Point 1	End to end IS Audit of Core Banking Application in Scheduled Commercial Bank/ PSU / RRB in India	We request you to amend the clause as "End to End IS Audit of Core Banking Application in Scheduled Commercial Bank/ PSU / RRB in India and/or audit of CBS modules/ functionalities." Also, please include any of IS audit/ Network audit/ Risk assessment etc in this clause as well. As per eligibility criteria point 6, bidder can share expertise in any 3 out of 5 areas. Whereas, it is asked for CBS only as per this clause	This clause may be read as: End to end IS Audit of Core Banking Application/Modules of core banking (like Internet Banking, Mobile Banking, SMS) in Scheduled Commercial Bank/ PSU / RRB in India Audit of each Bank with at least 150+ Branches will carry 5 Marks
3	Page no 23	The bidder shall be CERT-In Empanelled organization from at least past 3 years and its current empanelment	Cert-in Document empanelment evidence of the same to be enclosed	To allow bidder participation of companies, request you to modify the condition as follows: The bidder shall be CERT-In Empanelled organization its current empanelment should be valid/not	This clause may be read as: The bidder shall be CERT-In Empanelled organization its current empanelment should be valid/not expired.

		should be valid/not expired.		expired till October 31, 2023	
4	23	4.31- Evaluation of Eligibility Criteria	The Bidder should have a minimum financial turnover of INR 1.5 Crore and positive net worth for each year in the last three years financial year 2018-19, 2019-2020 and 2020-21.	As per guidelines issued by Ministry for MSME, criteria of prior turnover should be exempted for MSME registered bidders. Request you to kindly extend the exemption to bidders with valid MSME certificate	This clause for MSE may be read as: The bidders with valid certificate should submit supporting documents issued by competent Government bodies to become eligible for exemption subject to meeting of quality and technical specifications, in accordance with the relevant provisions of GFR
5	Page 23 / Section II: Instructions for Bid Submission	Clause 4.31, Point 6	Copy of letter of assignment & certification of satisfactory completion of assignment to be submitted from respective Banks	In case of no certification from the client, we would request you to clarify whether self-attestation can be produced along with other relevant documents such PO/WO/ Engagement Letters etc.	This clause may be read as: Purchase Order/Letter of Assignment along with self-declaration from the authorized signatory of the bidder firm may be submitted.
6	Page 26 / Section II: Instructions for Bid Submission	Clause 4.32, Point B.3	Copy of letter of assignment & certification of satisfactory completion of assignment to be submitted from respective Banks	In case of no certification from the client, we would request you to clarify whether self-attestation can be produced along with other relevant documents such PO/WO/ Engagement Letters etc.	This clause may be read as: Purchase Order/Letter of Assignment along with self-declaration from the authorized signatory of the bidder firm may be submitted.

7	Page no 23	Eligibility Criteria	The Bidder should have a minimum of 10 certification for pool of resources who possess qualifications such as: CISA / CISSP / CCNA/ CISM / OSCP	The Bidder should have a minimum of 10 certification for pool of resources who possess qualifications such as: CISA /DISA/CISSP / CCNA/ CISM / OSCP. Also at least 1 partner is required to have CISA qualification.	This clause may be read as: The Bidder should have a minimum of 10 certification for pool of resources who possess qualifications such as: CISA /DISA/CISSP / CCNA/ CISM / OSCP. Also at least 1 partner is required to have CISA qualification.
8	Page no 26	A. Bidder's experience in Auditing	3. The bidder's Audit team shall consist of minimum 5 permanent experts/ Certified resource having minimum 2 years' experience in: - a). ACA/FCA b). CISA c). CISSP/ CISM d). CCNA/ CCNP/ CCSP e). CEH At least one auditor from each of the above 5 fields will get 2 Marks	The bidder's Audit team shall consist of minimum 5 permanent experts/ Certified resource having minimum 2 years' experience in: - a). ACA/FCA b). CISA/DISA c). CISSP/ CISM d). CCNA/ CCNP/ CCSP e). CEH At least one auditor from each of the above 5 fields will get 2 Marks	This clause may be read as: The bidder's Audit team shall consist of minimum 5 permanent experts/ Certified resource having minimum 2 years' experience in: - a). ACA/FCA b). CISA/DISA c). CISSP/ CISM d). CCNA/ CCNP/ CCSP e). CEH